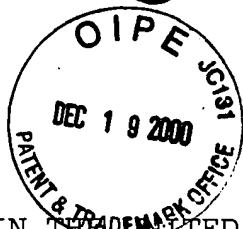


2152 #3



PATENT  
450110-02767

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Yiming ZHOU  
Serial No. : 09/656,969  
Filed : September 7, 2000  
For : DISTRIBUTED SERVICE PROVIDER  
Art Unit : 2152

745 Fifth Avenue  
New York, New York 10151  
Tel. (212) 588-0800

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for: William S. Frommer, Reg. No. 25,506

Name of Applicant, Assignee or  
Registered Representative

by: Glenn F. Savitt, Reg. No. 37,437

*Glenn F. Savitt*  
Signature

December 11, 2000  
Date of Signature

CLAIM OF PRIORITY

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

In support of the claim of priority under 35. U.S.C. § 119 asserted in the Declaration accompanying the above-entitled application, as filed, please find enclosed herewith a certified copy of U.K. Application No. 9921233.4, filed in U.K. on 8 September 1999 forming the basis for such claim.

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PATENT  
450110-02767

Acknowledgment of the claim of priority and of the receipt  
of said certified copy(s) is requested.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicant

*Glenn F. Savit, Reg. No. 33,437*

By: *Glenn F. Savit*  
for: William S. Frommer  
Reg. No. 25,506  
Tel. (212) 588-0800

Enclosure

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I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

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*M. C. King*

Dated

*24 August 2000*

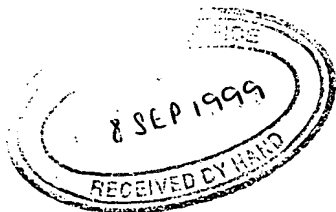
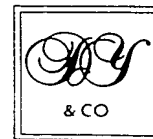
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# Patents Form 1/77

Patents Act 1977  
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The  
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Office



## Request for a grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

The Patent Office

Cardiff Road  
Newport  
Gwent NP9 1RH

1.	Your reference	P007534.GB	09SEP99 E475364-15 002246 _P01/7700 0.00 - 9921233.4
		n 8 SEP 1999	<b>9921233.4</b>
2.	Patent application number (The Patent Office will fill in this part)		
3.	Full name, address and postcode of the or of each applicant (underline all surnames)	SONY UNITED KINGDOM LIMITED THE HEIGHTS BROOKLANDS WEYBRIDGE SURREY KT13 0XW	
	Patents ADP number (if you know it)		
	If the applicant is a corporate body, give the country/state of its incorporation	UNITED KINGDOM	
4.	Title of the invention	DISTRIBUTED SERVICE PROVIDER	
5.	Name of your agent (if you have one)	D YOUNG & CO	
	"Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)	21 NEW FETTER LANE LONDON EC4A 1DA	
	Patents ADP number (if you have one)	59006	
6.	If you are declaring priority from one or more earlier patent applications, give the country and date of filing of the or each of these earlier applications and (if you know it) the or each application number	Country	Priority application number (if you know it)
		Date of filing (day/month/year)	
		NONE	
7.	If this application is divided or otherwise derived from an earlier UK application, give the number and filing date of the earlier application	Number of earlier application	Date of filing (day/month/year)

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:  
a) any applicant named in part 3 is not an inventor, or  
b) there is an inventor who is not named as an applicant, or  
c) any named applicant is a corporate body.  
See note (d)) YES

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form NO

Description 9

Claims(s) 4

Abstract 1

Drawing(s) 3

10. If you are also filing any of the following, state how many against each item.

Priority documents 0

Translations of priority documents 0

Statement of inventorship and right to grant of a patent (Patents Form 7/77) 1

Request for preliminary examination and search (Patents Form 9/77) 1

Request for substantive examination (Patents Form 10/77) 0

Any other documents NO  
(please specify)

11. I/We request the grant of a patent on the basis of this application.

Signature

Date

*Dr. Neil Hawes for D Young & Co*

D YOUNG & CO

Agents for the Applicants

08.09.99

12. Name and daytime telephone number of the person to contact in the United Kingdom J A TURNER 023 8063 4816

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After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

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b) Write your answers in capital letters using black ink or you may type them

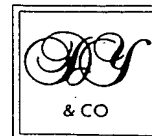
c) If there is not enough space for all the relevant details on any part of this form, please continue on a separate sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.

d) If you answered 'Yes' Patents Form 7/77 will need to be filed.

Once you have filled in the form you must remember to sign and date it.

For details of the fee and ways to pay please contact the Patent Office.





# Statement of inventorship and of right to grant of a patent

8-SEP 1999

The Patent Office

Cardiff Road  
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1.	Your reference	P007534.GB		
2.	Patent application number (if you know it)	<div style="display: flex; justify-content: space-between;"> <span>8 SEP 1999</span> <span>9921233.4</span> </div>		
3.	Full name of the or of each applicant	SONY UNITED KINGDOM LIMITED		
4.	Title of the invention	DISTRIBUTED SERVICE PROVIDER		
5.	State how the applicant(s) derived the right from the inventor(s) to be granted a patent	BY VIRTUE OF AN ASSIGNMENT DATED 7 SEPTEMBER 1999 BETWEEN OURSELVES AND THE OVER-NAMED INVENTOR.		
6.	How many, if any, additional Patents Forms 7/77 are attached to this form? (see note (c))	0		
7.	<p>I/We believe that the person(s) named over the page (and on any extra copies of this forms) is/are the inventor(s) of the invention which the above patent relates to</p> <table border="0" style="width: 100%;"> <tr> <td style="width: 50%; vertical-align: top;"> <p>Signature</p>  <p>D YOUNG &amp; CO Agents for the Applicants</p> </td> <td style="width: 50%; vertical-align: top;"> <p>Date</p> <p>08.09.99</p> </td> </tr> </table>		<p>Signature</p>  <p>D YOUNG &amp; CO Agents for the Applicants</p>	<p>Date</p> <p>08.09.99</p>
<p>Signature</p>  <p>D YOUNG &amp; CO Agents for the Applicants</p>	<p>Date</p> <p>08.09.99</p>			
8.	Name and daytime telephone number of the person to contact in the United Kingdom	<div style="display: flex; justify-content: space-between;"> <span>J A TURNER</span> <span>023 8063 4816</span> </div>		

## Notes

- a) If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505.
- b) Write answers in capital letters using black ink or you may type them.
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Enter the full names, addresses and postcodes of the inventors in the boxes and underline the surnames

ZHOU, YIMING

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### Distributed Service Provider

The invention relates to a computer network comprising a plurality of interconnected stations, more especially to the distribution of tasks between stations of a network in order to improve performance of the stations as viewed as a whole.

In a computer network, each station, node or terminal will have its own tasks to perform. It is also the case that, in use, there will be wide fluctuations in usage across the stations. Because of this, various schemes have been developed to increase the performance of a station by utilising spare capacity in other stations of the network that may otherwise lie idle. The present invention relates to one such scheme.

According to a first aspect of the invention there is provided a station for a network apparatus comprising the station and a plurality of other stations, all interconnected by a communication link, the station comprising:

- a network connection;
- 15 a self assessment module operable to determine a current status of the station, wherein the current status is a measure of the stations available resources;
- a trust list that includes a station identifier for the or each other station which is designated as trusted to perform tasks for the station;
- a broadcast unit operable to transmit service requests to the network connection
- 20 and onto the network, the service requests being directed to the or each other station identified in the trust list and constituting a request to the or each other station to perform a task for the station; and
- an answer unit operable to receive service requests from the network through the network connection and, in response thereto, to transmit to the network through the
- 25 network connection an acceptance or refusal message in respect of the service request, the acceptance or refusal being decided having regard to the current status of the station, as determined by the self assessment module.

According to a second aspect of the invention there is provided a method of distributing tasks in a network comprising a plurality of stations, all interconnected by respective network connections to a communication link, the method comprising:

transmitting a service request by a first station to its network connection and onto the network, the service request being directed to a trusted sub-group of the stations and specifying a task to be performed; and

5 receiving the service request by a second station, that is one of the trusted sub-group of stations, through its network connection and, in response thereto, transmitting to the network through its network connection an acceptance or refusal message in respect of the service request, the acceptance or refusal being decided having regard to the current status of the second station, as determined by a self assessment of the second station; and

10 carrying out the task specified in the service request by the second station and returning a service result to the first station.

According to an embodiment of the invention there is provided a distributed artificial intelligence service provider (DAISP) for a station according to the first aspect of the invention. This will be beneficial for both broadcasters and service  
15 providers, since many if not most applications should be network based nowadays.

The basic idea of DAISP is to make use of all available computer power in a networked environment and not to affect local users' activities. Distribution should be done whenever and wherever needed in a straightforward, effective, and simple way.

The DAISP is a normal user level application. It does NOT require anything  
20 special from an existing operating system. In a Unix situation, it will run as long as the user has a valid account. In the Microsoft NT case, it will run on a normal NT workstation and it does not require special libraries apart from the *Winsock.dll* which is needed for networking under NT.

The DAISP architecture is not a client/server architecture. There is no central  
25 server for the service so that there is no single point failure in the system. It is a network where individuals serve others on a trust basis, and themselves if necessary. At some times, the stations work together to produce harmonious performance. Individuals use the network as a stage to play on, to serve others, and to communicate/monitoring. It is possible for a station not to provide any service to

others. In this case, it is a customer/listener only station. However, such a station is still part of the architecture.

For a better understanding of the invention and to show how the same may be carried into effect reference is now made by way of example to the accompanying  
5 drawings in which:

Figure 1 shows a network in the form of a distributed system of interconnected stations;

Figure 2 shows a home network system example conforming to the network architecture of Figure 1;

10 Figure 3 shows internal modules of a station according to Figure 1 or 2;

Figure 4 shows internal structure of one of the modules of Figure 3; and

Figure 5 shows internal structure of another of the modules of Figure 3.

Figure 1 shows a computer network comprising a plurality of stations 100,  
15 102... etc. sequentially labelled 1, 2, ... n. The stations are networked by a communication link 10 with spurs 11 interconnecting each station to the main network link 10.

Figure 2 is an example of the general network of Figure 1 in the form of a home network comprising a number of disparate stations linked by a home network  
20 cable 10. The home network protocols and hardware comply to the standard IEEE 1394. The network is linked to the outside world by a satellite transceiver 8. The network stations shown by way of example are a television 100, a desk-top personal computer 102, a telephone apparatus 104, a set top box 106, a digital closed circuit television (CCTV) camera 108, a hi-fi system 110, a video recorder 112, a lap-top  
25 personal computer 114 and a digital video camera 116.

It is envisaged that a typical home network will have connected to it a disparate collection of stations, each having different computing capabilities. For example, it may be expected that the personal computers 102 and 114 will have relatively powerful general processing and memory capabilities, whereas the digital video

camera 116 and television 100 may have relatively powerful image processing capabilities.

Moreover, it is envisaged that some of the stations will be transient elements in the system in that they will be plugged in and out as "plug-and-play" devices, i.e. devices that are automatically configurable in the network. For example, the lap-top computer 114, and the digital camera 116 will be connected to the home network only sporadically.

Figure 3 shows internal structure of the station 100. The further stations 2, 3, 4, ...n will have the same internal structure. The internal structure is made up of a number of interconnected components, each of which is described in turn below. The illustrated components of the station are a broadcast/answer module 12, a self assessment module 14, a system security module 16, a task execution, monitoring and reporting module 18, a task scheduler module 20, a service requirement analysis module 22, a service/performance history learning analysis module 24, a task failure management module 26, an assistance service module 28, a plurality of service modules 30, and a redistributable software resource repository 32.

The broadcast/answer module 12 is shown in its station environment in Figure 3 and again in Figure 4 which shows further internal structure of the broadcast/answer module 12.

The broadcast/answer module 12 is the module to broadcast service requirements to the network. The requirement can be anything related to the task it is performing. For example, if a station wants to take on a task since it is the most suitable station to do the job, but found that there was a software module missing in its library, it could then broadcast the requirement for the piece of software.

As shown in Figure 5, the broadcast/answer module 12 has a broadcast unit 48 and an answering unit 46. The broadcast unit 48 is operable to transmit resource requests to the network. The answering unit 46 includes information about the station's self-assessment of its performance if it takes on the task and some basic station-based information such as CPU power, benchmark, free memory, total memory, current load of the machine, etc. Before answering any service requirements,

security has to be checked to keep intruders away. Also, it has to check resources inside itself to make sure it can take on the task.

The self assessment module 14 is illustrated in Figure 3 in its station environment, and again in Figure 5 which shows internal structure of the self assessment module 14.

The self assessment module 14 provides two kinds of self assessment or self evaluation, namely self assessment based on static status and self assessment based on dynamic status. The status information is held in respective status units 40 and 42. The status is evaluated by a status evaluation unit 44. The self assessment module 14 is connected to the broadcast/answer module 12 by a link 15. In response to a status request from the broadcast/answer module 12, the station status is evaluated by the status evaluation unit 44 and a result returned by the link 15. The status request may be prompted, for example, by receipt of a request from a trusted remote station for resources.

The static status information is held in a static status unit 40 and includes:

- (a) CPU model, number,
- (b) Total memory,
- (c) Total permanent storage,
- (d) Byte Benchmark (Integer, memory, floating point),
- (e) Operating System ID, version,
- (f) Special hardware devices ID, version.

The dynamic status information is held in the dynamic status unit 42 and includes:

- (a) CPU load (current, last 1 minute, last 5 minutes, last 15 minutes).
- (b) Network bandwidth (Mbit/Sec).
- (c) Number of native Processes.

(d) Status of native Processes (Owner, CPU, Disk, RAM and Special hardware usage).

(e) Number of alien Processes.

5 (f) Status of alien Processes (Owner, CPU, Disk, RAM and Special hardware usage).

(g) Free available disk space of those Disk IDs.

(h) Total free RAM.

(i) Special Hardware status.

10 Static status takes relatively long time to complete. It generally needs to be done only once when the DAISP is up and running first time after a hardware update. It is then saved as a file which can be used when needed. Dynamic status has very short life time, i.e. it is out of date soon after it is obtained. It will be obtained periodically and dispatched if needed immediately.

15 The system security module 16 guards a station running DAISP by every means. It can prevent answering malicious requirements and unreasonable task execution requirements. It can use encryption to protect the communication between stations. Normally, this is done on a trust basis, as defined by a trust list held in and for each station. The trust list is a list of the station identifiers of those other stations  
20 which are permitted to pool tasks with the station concerned. That is, the trust list is a list of other stations which the station concerned will transmit broadcast requests to and will be prepared to consider answering broadcast requests from.

In the example of the home system, there may be a number of personal computers used by different family members. Personal computers of children, for  
25 example, could be excluded from trust lists to reduce the virus hazard.

If a station is trusted in the DAISP, it will have the right to access whatever it can access under the operating system's discretion. For example, if a DAISP is run by a normal user (compared with privileged user), it will have access to the resources which a normal user can access.



In the case of a normal UNIX box, it will have access to the user's own quota controlled hard disk, user ID priority governed CPU usage, etc.

5 In a Microsoft NT environment, a normal user will have the right to access all shared hard disks on the network and user ID priority governed CPU usage. Care must be taken in the Microsoft case since a normal user has access to the network wide shared disks.

10 The task execution, monitoring, reporting module 18 takes on a task and starts execution if necessary. It will broadcast status to the network. The purpose of doing this is that if the station fails in the middle of the execution, others will know about the task and its progress and take over. For example, if station  $\alpha$  started a service and put up a message onto the network saying that "I am doing the task, it should finish by 21:10:35" and this information is updated at 21:10:10, and next update will be at 21:10:20", if it fails to update the message at 21:10:21, everybody on the network knows that something unexpected happened to  $\alpha$ , then the capable station at the time  
15 can take on the task and inform the network about its action. This will guarantee the quality of the service.

20 The task scheduler module 20 maintains a tasks' and stations' priority scheme which governs the task execution priority in the station. It will monitor all tasks in the station including local tasks, which are the tasks initiated locally and foreign tasks, which are created by remote DAISP users. For example, if a local user starts a task, say Microsoft word, the Task scheduler module has to act quickly to suspend some of the foreign tasks in order to release enough resources, say CPU power, back to the local resources pool. It will guarantee that the local user will not be affected by any foreign tasks running in the machine. That will encourage users to participate the DAISP  
25 scheme.

The service requirement analysis module 22 does extra work after finishing a service and provides information about performance and possible improvement. It maintains the redistributable software resource repository 32 inside of the station. For example, if a software module was not used for a long time, it can ask others to have it.  
30 If nobody wants it, it can put it into a software dump place. If it finds out there exists a

new version of a software, it can update the software collection of the station by grabbing it through internet and let other station know it.

5 The service/performance history learning analysis module 24 is concerned with the history of the station. Its main task is to optimise the station so that it can service the network better. It will try to find bottlenecks for different tasks and will bring these to the attention of the system administrators if it can not solve it itself.

10 The task failure management module 26 deals with both failure of itself and other stations in the network. If it fails to do something, it will put a requirement up to the network for solution. If it found somebody else's failure such as mentioned in the "Task execution, monitoring, reporting module" section, it will see whether it can take on the task. If it can, it will broadcast the response and wait a while for answers. If nobody answers before timeout, it will start to continue the services.

15 The assistance service module 28 works as a bridge to other modules, for example, as a intermediate delivery station for a long distance material transfer. Or, it can be treated as sub-service to other service stations.

20 The service modules 30 are the modules that do the actual service jobs. they can be any services such as AI service for user habit catching, analysis and predicting, video streaming services, streaming convergence services, etc.. Certain service modules can be inside of "Redistributable software resource repository". They could be relocated to somewhere else in order to serve customers better.

25 A Distributed AI Service Provider (DAISP) based on the above-described distributed system architecture and a Linux operating system has been designed and implemented. It can be put on to one bootable floppy disk for machines which have sufficient memory to operate it. It can do distributed AI servicing without waste of hardware resources. Learning and predicting requirements from clients can be dealt with seamlessly, i.e. the DAISP provides a Plug-and-Play type of service. Testing has been done by using multiple PCs, such as dual Pentium II 400 with 256Mb RAM. The whole system functioned as expected and execution time for learning and predicting was nearly linearly reduced as more DAISPs were put into service.

The DAISP provides a good solution for many networked applications, for example as a host to an AI engine. The distributed system architecture can provide a more robust and reliable service in many areas.

CLAIMS

1. A station for a network apparatus comprising the station and a plurality of other stations, all interconnected by a communication link, the station comprising:

- a network connection;
- 5 a self assessment module operable to determine a current status of the station, wherein the current status is a measure of the stations available resources;
- a trust list that includes a station identifier for the or each other station which is designated as trusted to perform tasks for the station;
- a broadcast unit operable to transmit service requests to the network connection
- 10 and onto the network, the service requests being directed to the or each other station identified in the trust list and constituting a request to the or each other station to perform a task for the station; and
- an answer unit operable to receive service requests from the network through the network connection and, in response thereto, to transmit to the network through the
- 15 network connection an acceptance or refusal message in respect of the service request, the acceptance or refusal being decided having regard to the current status of the station, as determined by the self assessment module.

2. A station according to claim 1, wherein the self assessment module is operable

20 to determine a static status for the station based on hardware resources of the station and a dynamic status for the station based on current usage of the hardware resources.

3. A station according to claim 1 or 2, further comprising a system security module operable to handle encryption between the station and the or each other trusted

25 station.

4. A station according to claim 1, 2 or 3, further comprising a task execution, monitoring and reporting module operable to broadcast to the network progress updates on tasks accepted by and being performed in the station on behalf of an other

30 station.

5. A station according to any one of the preceding claims, further comprising a task scheduler module arranged to monitor all tasks being performed in the station, including tasks initiated by the station for the station and tasks being performed in response to receipt of a service request from one of the other stations.

6. A station according to any one of the preceding claims, further comprising a service requirement analysis module and a software resource repository in which a plurality of software modules are stored, the service requirement analysis module being operable to maintain the software resource repository by importing and exporting software modules to and from other stations having regard to demand in the station for such software modules.

7. A station according to claim 6, wherein the station is further operable to broadcast messages to the network offering software modules held in the software resource repository to the or each other trusted station.

8. A station according to any one of the preceding claims, further comprising a service/performance history learning analysis module operable to apply artificial intelligence to find task bottlenecks in the station and the other stations, and to bring these to the attention of a network administrators if it can not solve them itself.

9. A station according to any one of the preceding claims, further comprising a task failure management module, operable to transmit to the network a failure message in response to failure of the station successfully to complete a task being performed for one of the other stations.

10. A station according to claim 9, wherein the task failure management module is further operable to monitor for failure messages transmitted by one of its trusted

stations and, in response thereto, to handle the failure message as a service request message for the failed task.

11. A network comprising a plurality of stations according to any one of the  
5 preceding claims interconnected by a communication link.

12. A network according to claim 11, wherein there is no central server for the network.

10 13. A network according to claim 11 or 12, wherein the network operates to a protocol that permits stations to be removed from and added to the network dynamically.

14. A network station substantially as hereinbefore described with reference to the  
15 accompanying drawings.

15. A station substantially as shown in Figure 3.

16. A method of distributing tasks in a network comprising a plurality of stations,  
20 all interconnected by respective network connections to a communication link, the method comprising:

transmitting a service request by a first station to its network connection and onto the network, the service request being directed to a trusted sub-group of the stations and specifying a task to be performed; and

25 receiving the service request by a second station, that is one of the trusted sub-group of stations, through its network connection and, in response thereto, transmitting to the network through its network connection an acceptance or refusal message in respect of the service request, the acceptance or refusal being decided having regard to the current status of the second station, as determined by a self assessment of the  
30 second station; and

carrying out the task specified in the service request by the second station and returning a service result to the first station.

17. A method according to claim 16, wherein the carrying out of the service request by the second station involves further distribution of the service by transmitting further service requests to a sub-group of the stations trusted by the second station.

**ABSTRACT**

**Distributed Service Provider**

5           A station for a network apparatus comprising the station and a plurality of other  
stations, all interconnected by a communication link. The station comprises: a network  
connection; a self assessment module operable to determine a current status of the  
station, wherein the current status is a measure of the stations available resources; a  
trust list that includes a station identifier for the or each other station which is  
10   designated as trusted to perform tasks for the station; a broadcast unit operable to  
transmit service requests to the network connection and onto the network, the service  
requests being directed to the or each other station identified in the trust list and  
constituting a request to the or each other station to perform a task for the station; and  
an answer unit operable to receive service requests from the network through the  
15   network connection and, in response thereto, to transmit to the network through the  
network connection an acceptance or refusal message in respect of the service request,  
the acceptance or refusal being decided having regard to the current status of the  
station, as determined by the self assessment module.

20   Figure 3



Fig. 1

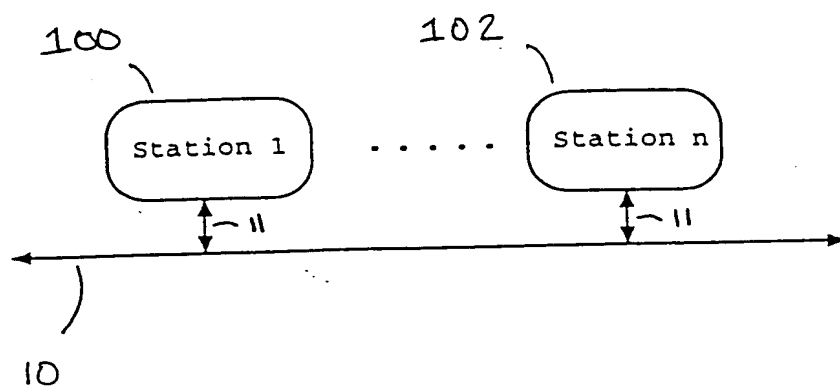
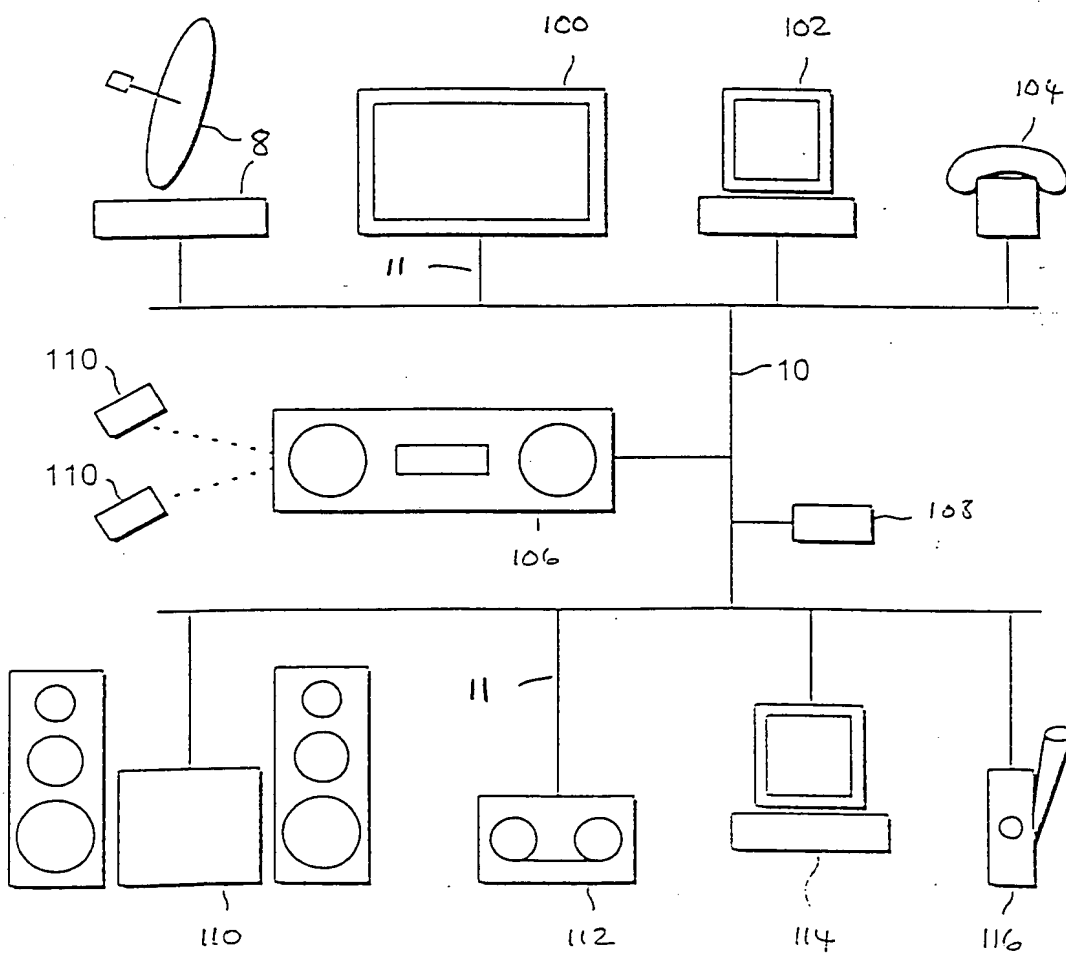
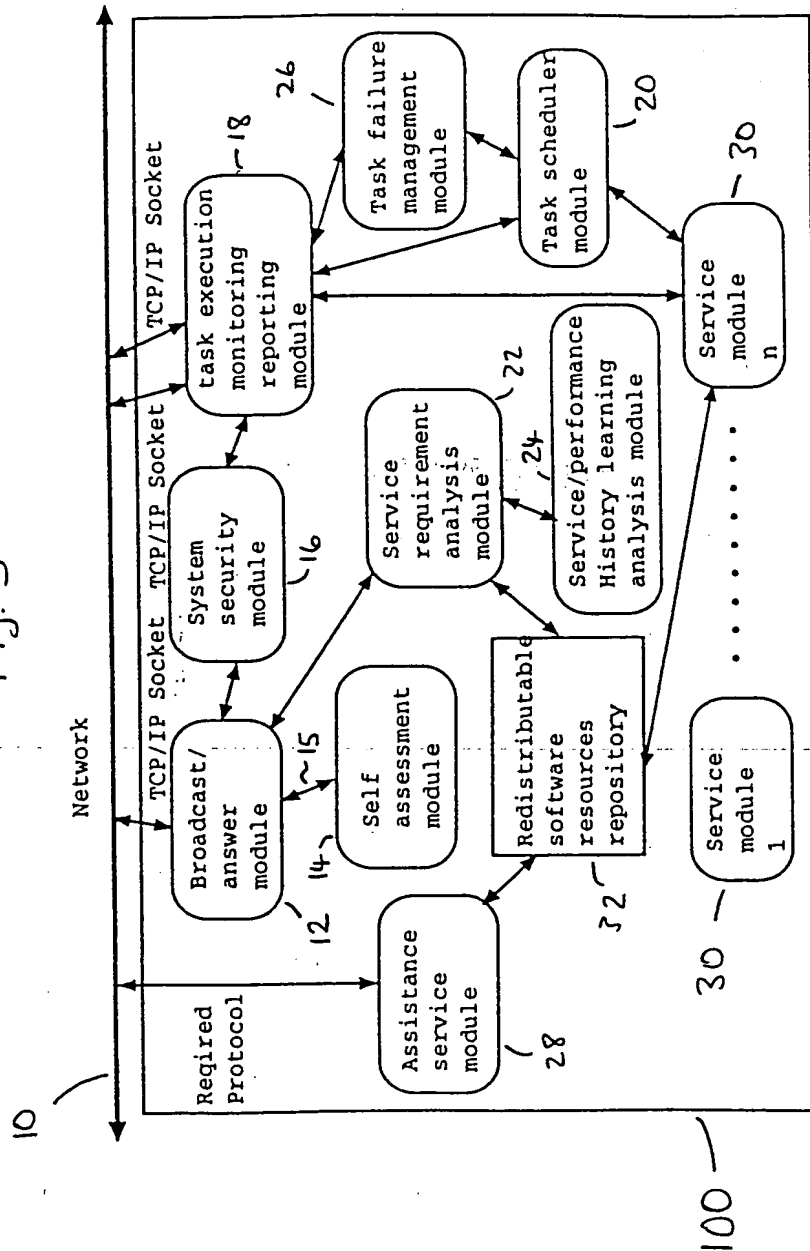


Fig. 2



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Fig. 3



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Fig. 4

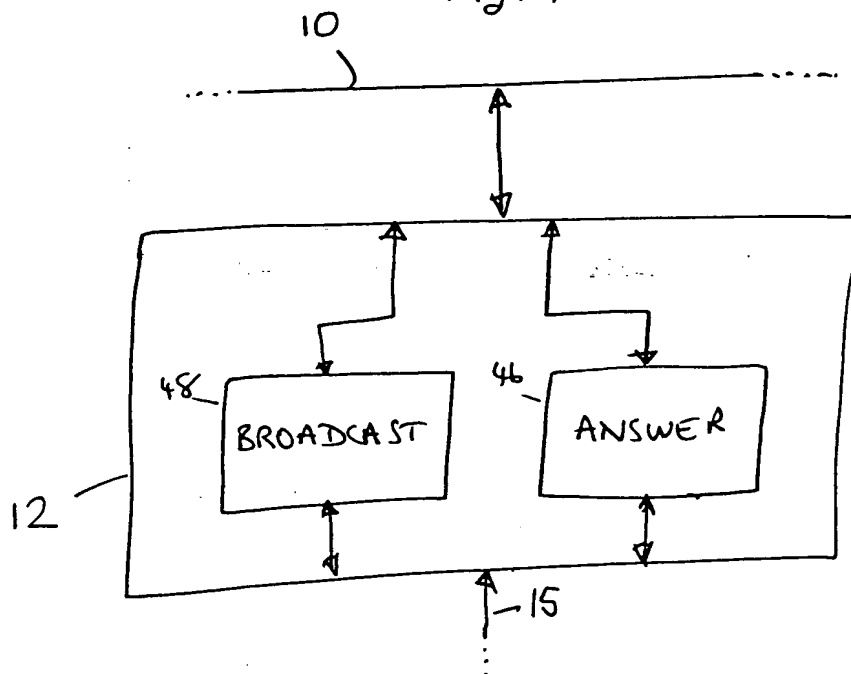
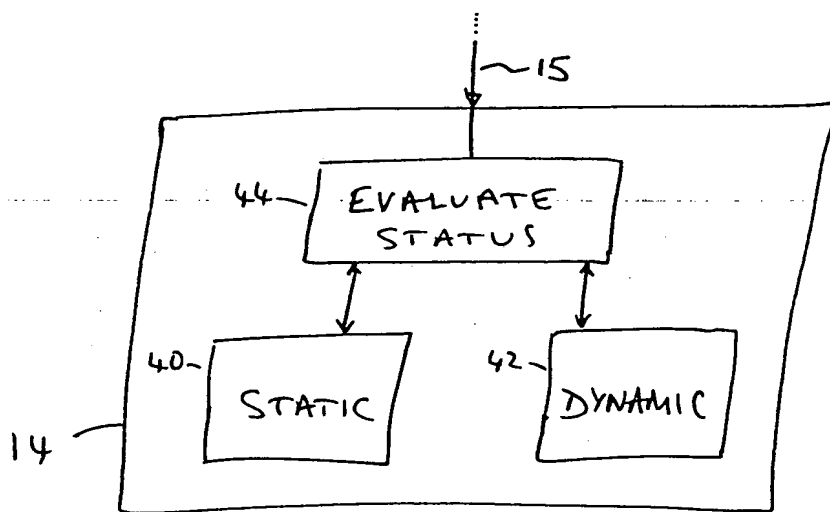


Fig. 5



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